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Application No.: 10/584,826
Preliminary Amendment - First Action Not Yet Received

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
John Michael Redmayne

Confirmation No.: **Not yet assigned**

Application No.: **10/584,826**

Group Art Unit: **Not yet assigned**

International Serial No.:
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Examiner: **Not yet assigned**

For: **METHOD AND APPARATUS FOR PRICING SECURITIES**

EXPRESS MAIL LABEL NO: EV 800284785 US
DATE OF DEPOSIT: September 1, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT PURSUANT TO 37 CFR § 1.115

Preliminary to examination of the above-captioned patent application, please amend the application as follows:

- ☒ **Amendments to the Specification** begin on page 2 of this paper.
- ☒ **Amendments to the Claims** are reflected in the listing of the claims which begins on page 3 of this paper.
- ☐ **Amendments to the Drawings** begin on page of this paper and include an attached replacement sheet.
- ☒ **Remarks** begin on page 57 of this paper.